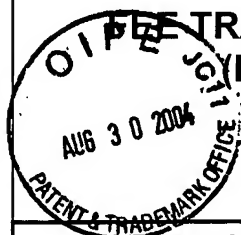


# Corres. and Mail BOX AF

Complete if Known

## OFFICE TRANSMITTAL SHEET (FOR FY 2004)



Application No.	10/075,640
Filing Date	February 13, 2002
First Named Inventor	Michael Nuttall
Group Art Unit	2818
Examiner	David Vu
Atty. Docket Number	500803.02

### METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	<input type="checkbox"/> Utility Filing Fee	
1002	340	2002	170	<input type="checkbox"/> Design Filing Fee	
1003	530	2003	265	<input type="checkbox"/> Plant Filing Fee	
1004	770	2004	385	<input type="checkbox"/> Reissue Filing Fee	
1005	160	2005	80	<input type="checkbox"/> Provisional Filing Fee	
Subtotal (1)					\$ 0

#### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid						
Total	<u>18</u>	-	<u>20</u>	=	<u>0</u>	x	<u>\$ 18</u>	=	<u>\$ 0</u>	
Ind.	<u>2</u>	-	<u>3</u>	=	<u>0</u>	x	<u>\$ 86</u>	=	<u>\$ 0</u>	
Multiple Dependent Claims							x	<u>\$</u>	=	<u>\$</u>

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent Claim	
1204	86	2204	43	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - Late filing fee or oath	\$
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
1053	130	1053	130	Non-English specification	\$
1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination	\$
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	\$
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	420	2252	210	Extension for reply within 2 <sup>nd</sup> month	\$
1253	950	2253	475	Extension for reply within 3 <sup>rd</sup> month	\$
1254	1,480	2254	740	Extension for reply within 4 <sup>th</sup> month	\$
1255	2,010	2255	1,005	Extension for reply within 5 <sup>th</sup> month	\$
1401	330	2401	165	Notice of Appeal	\$
1402	330	2402	165	Filing a brief in support of an appeal	\$
1403	290	2403	145	Request for oral hearing	\$
1814	110	2814	55	Terminal Disclaimer Fee	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,330	2453	665	Petition to revive - unintentional	\$
1501	1,330	2501	665	Utility/Reissue issue fee	\$
1502	480	2502	240	Design issue fee	\$
1503	640	2503	320	Plant issue fee	\$
1460	130	1460	130	Petitions to the Commissioner	\$
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$
1806	180	1806	180	Submission of IDS	\$
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
1801	770	2801	385	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
Subtotal (3)					\$ 0

Total Amount of Payment: \$ 0

Submitted by:

Name: Marcus Simon

Reg. No.: 50,258

Telephone: (206) 903-8800

Signature: *Marcus Simon*

Date: August 24, 2004



**RESPONSE UNDER 37 C.F.R. § 1.116  
EXPEDITED PROCEDURE - EXAMINING GROUP 2800**

**PATENT**

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 24, 2004  
Date

Carolyn L. Ross  
Carolyn L. Ross

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/075,640                      Confirmation No. : 9841  
Applicants : Michael Nuttall and Garry Anthony Mercaldi  
Filed : February 13, 2002                      Attorney Docket No.: 500803.02  
Art Unit : 2818                      Customer No. : 27,076  
Examiner : David Vu  
Title : METHOD FOR ENHANCING VERTICAL GROWTH DURING THE SELECTIVE  
FORMATION OF SILICON, AND STRUCTURES FORMED USING SAME

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Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. § 1.116**

Sir:

Applicant acknowledges receipt of the Office Action dated June 3, 2004. Please amend the above-captioned patent application as follows:

A Listing of the Claims begins on page 2 of this paper.

Remarks begin on page 5 of this paper.